

### 4.11 Order Activity Enquiry (Static)

This non-real-time, multi-screen enquiry allows traders to enquire on all order-related operations that have been performed so far today by themselves. Trader can restrict the information displayed by specifying a particular security, or both a security and a price. Trader can also further specify a start time. The details of order activity such as log time, type of operation, security code, price, quantity and other order details are displayed. The orders are sorted in ascending chronological order.

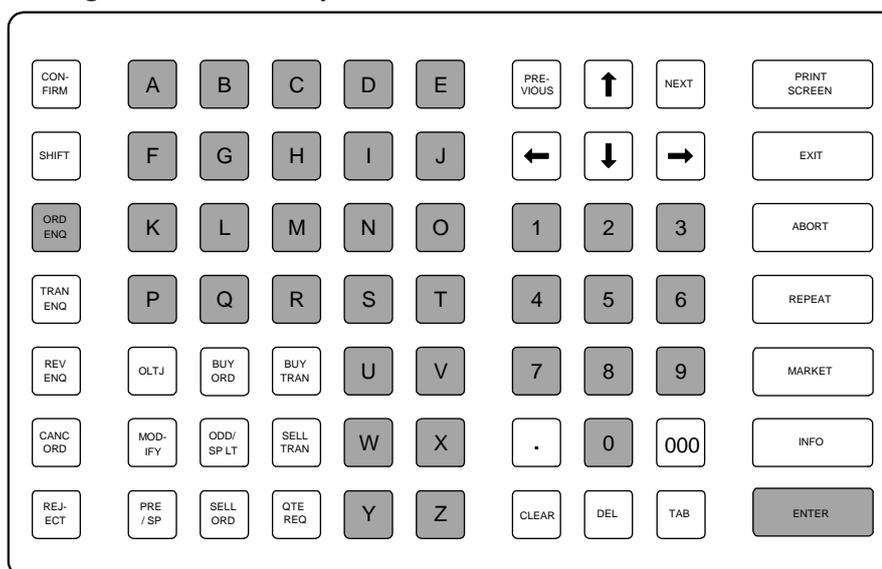
#### For Exchange's customized-keyboard:

- Step1: Press ORD ENQ  
 Step2: Press ORD ENQ  
 Step3: Input Data  
 Step4: Press ENTER

#### For 101-keyboard:

- Step1: Press F6  
 Step2: Press F6  
 Step3: Input Data  
 Step4: Press ENTER

#### Exchange's customized-keyboard



#### Fields for user reference

| Field Name | Type               | IN/OUT | Optional | Remarks   |
|------------|--------------------|--------|----------|---|
| STOCK      | Character(5)       | IN     | Optional | Security Code FILTER<br>Show all orders if it is blank  |
| PRICE      | Numeric (9999.999) | IN     | Optional | Order Price with 3 decimal places, will only show the orders activities that matches the price insert<br>Show all orders if it is blank                             |
| QTY        | Numeric (8)        | IN     | Optional | Quantity filter, will only show the order activities that matches with the quantity insert<br>Show all orders if it is blank  |
| BROKER     | Numeric (4)        | IN     | Optional | Broker ID, will only show the order activities that handled by selected broker<br>Show all orders if it is blank  |
| START TIME | Time (HH.MM)       | IN     | Optional | Time filter with hours and minutes, 24 Hours format<br>The orders before the Time will be hidden from enquiry<br>By default it is 00:00, which will show all orders |
| MKT        | Character(4)       | IN     | Optional | MAIN = Main market<br>GEM = GEM Market<br>ETS = Extended Trading Securities<br>NASD = NASDAQ<br>Show all orders if it is blank                                      |
| CLIENT     | Character(10)      | IN     | Optional | Client Filter<br>Show all orders if it is blank   |

Step1 & Step2

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

| ORD ENQ  | ORD ENQ  | STOCK<br>BROKER | PRICE<br>START TIME | QTY<br>00:00MKT | CLIENT |
|--|----------|-----------------|---------------------|-----------------|--------|
| 20:48 S A 97 HKD 22.000 1,000 1300 OLTJ                          |          |                 |                     |                 |        |
| HSI  | 23144.49 | -37.23          | HKL                 | 28204.16        | +41.85 |
| GEM  | 509.52   | -0.29           | T/O                 | 38.375B         |        |
| [21:08] 20:49 15 S HKD 21.000 2,000 Q 0 Filled 2,000 Outstanding |          |                 |                     |                 |        |

Step3

MWS Parody [User: FRANCIS, Version: 1.02.r34568]

| ORD ENQ  | ORD ENQ                               | STOCK<br>BROKER | PRICE<br>START TIME | QTY<br>00:00MKT | CLIENT  |     |           |       |             |
|--|---------------------------------------|-----------------|---------------------|-----------------|---------|-----|-----------|-------|-------------|
| Order Activity Enquiry (Static)                                  |                                       |                 |                     |                 |         |     |           |       |             |
| Time   | Oper.                                 | B/A             | Type                | Stock           | Price   | QTY | Reference | TC    | Client      |
| 11:16  | Input to CC - CC Approved To MKT      | A               | L                   | 5               | 11.000  | 800 | CANC      | NA    | CFRANCIS    |
| 11:16  | Cancel - To Market                    | B               | L                   | 5               | 10.000  | 400 | CANC      | NA    | CFRANCIS    |
| 11:18  | Input - To Market                     | B               | L                   | 5               | 10.000  | 400 | CANC      | NA    | CFRANCIS    |
| 11:18  | Modify - To Market                    | B               | L                   | 5               | 9.000   | 400 | CANC      | NA    | CFRANCIS    |
| 11:18  | Trader Bulk Cancel - 3                |                 |                     | 5               |         |     |           |       |             |
| 11:18  | Cancel - To Market                    | B               | L                   | 5               | 10.000  | 400 | CANC      | NA    | CFRANCIS    |
| 11:18  | Cancel - To Market                    | A               | L                   | 5               | 11.000  | 800 | CANC      | NA    | CFRANCIS    |
| 11:18  | Cancel - To Market                    | B               | L                   | 5               | 9.000   | 400 | CANC      | NA    | CFRANCIS    |
| 11:19  | Input to CC - Pending for CC Approval | A               | L                   | 5               | 11.000  | 800 | CANC      | NA    | CFRANCIS    |
| 11:19  | Input to CC - CC Approved To MKT      | A               | L                   | 5               | 11.000  | 800 | CANC      | NA    | CFRANCIS    |
| 11:19  | Trader Bulk Cancel - 1                | A               |                     | 5               |         |     |           |       |             |
| 11:19  | Cancel - To Market                    | A               | L                   | 5               | 11.000  | 800 | CANC      | NA    | CFRANCIS    |
| 15:05  | Input - To Market                     | B               | L                   | 4362            | 1.000   | 50  | USD       | NA    | CFRANCIS    |
| 15:13  | Input to CC - Pending for CC Approval | A               | L                   | 4362            | 1.000   | 50  | USD       | NA    | CFRANCIS    |
| 15:13  | Input to CC - CC Approved To MKT      | A               | L                   | 4362            | 1.000   | 50  | USD       | NA    | CFRANCIS    |
| 15:14  | Input - To Market                     | B               | L                   | 4362            | 1.000   | 50  | USD       | NA    | CFRANCIS    |
| 18:19  | Input to CC - Pending for CC Approval | A               | L                   | 700             | 115.800 | 100 |           | NA    | CFRANCIS    |
| 20:48 S A 97 HKD 22.000 1,000 1300 OLTJ                          |                                       |                 |                     |                 |         |     |           |       |             |
| HSI  | 23144.49                              | -37.23          | HKL                 | 28204.16        | +41.85  | GEM | 509.52    | -0.29 | T/O 38.375B |
| [21:08] 20:49 15 S HKD 21.000 2,000 Q 0 Filled 2,000 Outstanding |                                       |                 |                     |                 |         |     |           |       |             |

## Remarks for Order Activity Enquiry (Static)

| Operation               | Description  |
|-------------------------|--|
| Input                   | Input new order  |
| Input re-enter(C)       | Consideration Warning re-enter during new order  |
| Input re-enter(P)       | Price Warning re-enter during new order  |
| Modify                  | Modify order   |
| Mod. re-enter(C)        | Consideration Warning re-enter during modify order   |
| Mod. re-enter(P)        | Price Warning re-enter during modify order   |
| Cancel                  | Cancel order   |
| Input to CC             | Input new order with Credit Check required   |
| Input re-enter(C)to CC  | Consideration Warning re-enter during new order with Credit Check required                 |
| Input re-enter(P)to CC  | Price Warning re-enter during new order with Credit Check required                         |
| Modify to CC            | Modify order with Credit Check required  |
| Mod. re-enter(C)to CC   | Consideration Warning re-enter during modify order with Credit Check required              |
| Mod. re-enter(P)to CC   | Price Warning re-enter during modify order with Credit Check required                      |
| Broker Bulk Cancel      | Issue Broker Bulk Cancel, will trigger a trader bulk cancel activity to clear the requests |
| Trader Bulk Cancel - XX | Issue Trader Bulk Cancel, with XX cancel orders submitted                                  |

| Operation - Status     | Description                                 |
|------------------------|---|
| Pending Trading Status | Pending for available trading session       |
| Order Expired          | Order expired (eg. Auction Order not match) |
| To Market              | Order is sent to market                     |
| CC Approved To MKT     | Order is approved by Credit Check           |
| CC Rejected            | Order is rejected by Credit Check           |
| Pend. CC Appr.         | Order is pending for Credit Check Approval  |