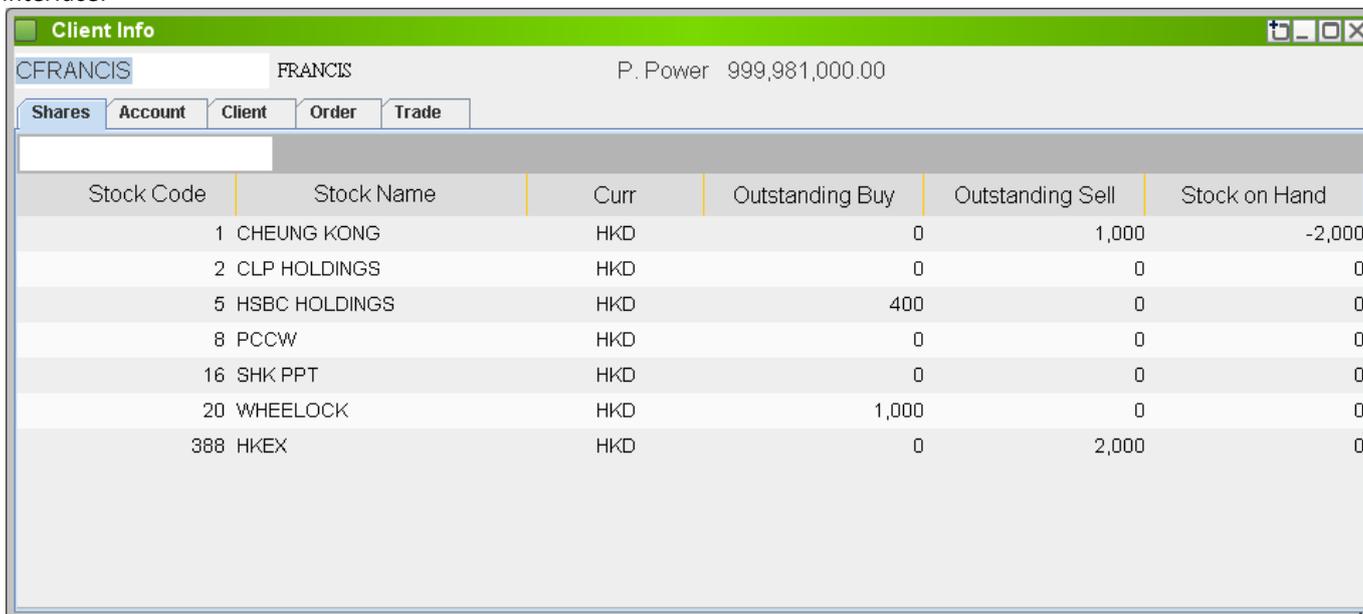


2.1.6 Client Information

This function is a non-real-time enquiry; it displays the details about a particular client. User selects a client account from the client list combo box. The details of the selected client are displayed, including general setting, contact information limit setting, and cash & holding information. Only the client’s assigned trader or the assigned trader’s supervisor will have access right to client’s details.

Interface:



Enquiry of client information

Enter the Client ID in combo box and then press “ENTER”, related client information will be displayed on the display pane.

Interface Item:

Items	Label (ENG)\ (中文)	Type	Remarks
Client	- N/A	Combo Box(20)	User can input client account to query the specific client information - Combo Box items will included the previous result for quick access
Information Panel	- N/A	Table	Click on specific tab for various client information to display, 5 different types of client information will be shown: - Share (refer to 2.1.6.1) - Account(refer to2.1.6.2) - Client(refer to 2.1.6.3) - Order(refer to 2.1.6.4) - Trade(refer to 2.1.6.5)
P.Power	- P.Power - 購買力	Label(15)	Display the client buying power Purchase Power = Client’s cash balance + Net change of Cash in/out + Today’s balance adjustment + Daily Credit

2.1.6.1 Shares in Hand

Share in hand information such as stock code, Qty in hand will be displayed on the panel. This tab will be set as the default page of the client information function.

Interface:

Stock Code	Stock Name	Curr	Outstanding Buy	Outstanding Sell	Stock on Hand
1	CHEUNG KONG	HKD	0	1,000	-2,000
2	CLP HOLDINGS	HKD	0	0	0
5	HSBC HOLDINGS	HKD	400	0	0
8	PCCW	HKD	0	0	0
16	SHK PPT	HKD	0	0	0
20	WHEELLOCK	HKD	1,000	0	0
388	HKEX	HKD	0	2,000	0

2.1.6.2 Account

Account information of the client will be displayed on the information panel if clicked on Account tab. Information such as current balance, deposit amount, daily credit and purchasing power are displayed in this panel.

Interface:

Opening Balance	1,000,000,000.00
Trading Balance	-19,000.00
CashIn/Out	0.00
Daily Credit	0.00
P. Power	999,981,000.00

2.1.6.3 Client

Personal information of the client will be displayed on the information panel if clicked on Client tab. Information such as CCASS IP Account, contact phone, mobile phone, preferred language, email, address and client's trade setting are displayed in this panel.

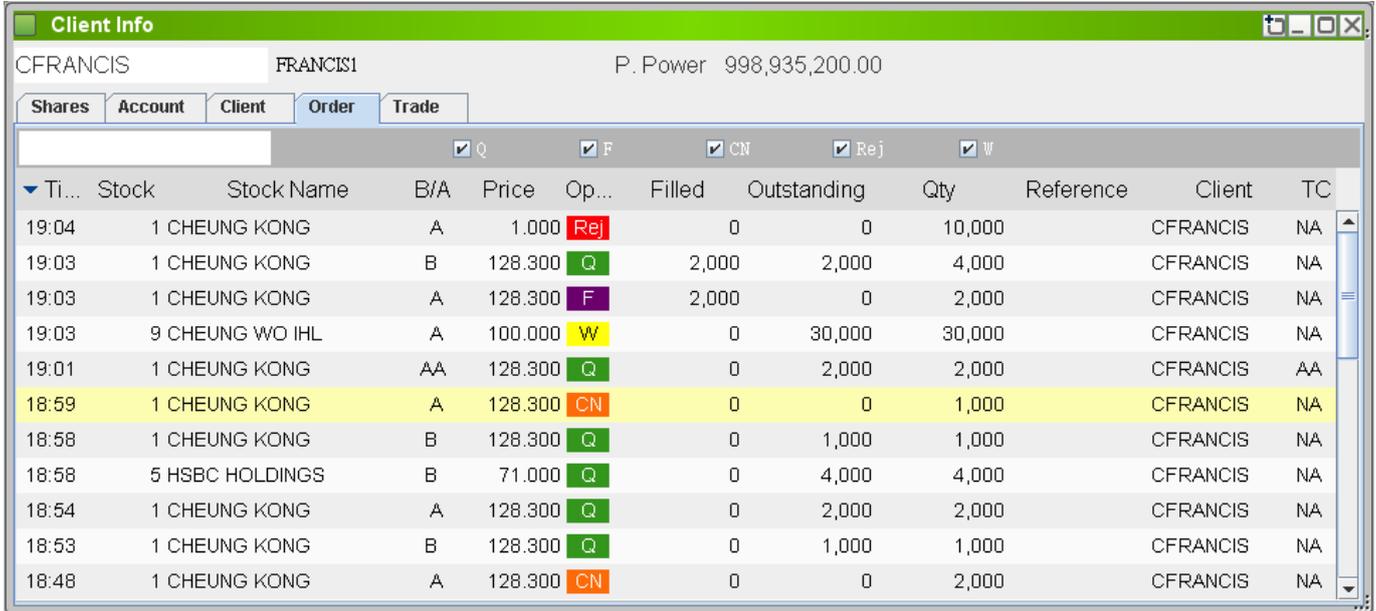
Interface:



2.1.6.4 Order

Order Book of the client will be displayed on the information panel if Order tab is clicked. All orders with status equal to outstanding, filled, canceled, rejected and wait are displayed in this panel.

Interface:



The screenshot shows the 'Client Info' window for 'CF FRANCIS' with account 'FRANCIS1' and 'P. Power 998,935,200.00'. The 'Order' tab is selected, and a table of orders is displayed. The table has columns for Time, Stock, Stock Name, B/A, Price, Op..., Filled, Outstanding, Qty, Reference, Client, and TC. The 'Op...' column contains status indicators: Rej (red), Q (green), F (purple), W (yellow), and CN (orange).

Time	Stock	Stock Name	B/A	Price	Op...	Filled	Outstanding	Qty	Reference	Client	TC
19:04	1	CHEUNG KONG	A	1.000	Rej	0	0	10,000		CFRANCIS	NA
19:03	1	CHEUNG KONG	B	128.300	Q	2,000	2,000	4,000		CFRANCIS	NA
19:03	1	CHEUNG KONG	A	128.300	F	2,000	0	2,000		CFRANCIS	NA
19:03	9	CHEUNG WO IHL	A	100.000	W	0	30,000	30,000		CFRANCIS	NA
19:01	1	CHEUNG KONG	AA	128.300	Q	0	2,000	2,000		CFRANCIS	AA
18:59	1	CHEUNG KONG	A	128.300	CN	0	0	1,000		CFRANCIS	NA
18:58	1	CHEUNG KONG	B	128.300	Q	0	1,000	1,000		CFRANCIS	NA
18:58	5	HSBC HOLDINGS	B	71.000	Q	0	4,000	4,000		CFRANCIS	NA
18:54	1	CHEUNG KONG	A	128.300	Q	0	2,000	2,000		CFRANCIS	NA
18:53	1	CHEUNG KONG	B	128.300	Q	0	1,000	1,000		CFRANCIS	NA
18:48	1	CHEUNG KONG	A	128.300	CN	0	0	2,000		CFRANCIS	NA

Filtering by order status

Orders can be filtered by different order status.

Filtering By text

Enter any text at the box located at the top of the display panel. Orders will be filtered according to text entered in text box

Sorting

Press the specific column header to perform transaction sorting according to the column header pressed

2.1.6.5 Trade

Client's trade Book will be displayed on the information panel if "Trade" tab is clicked. Trade book of the client account will be provided for trace back the whole day transactions. Sorting and filter of transaction are supported for user to trace back trade records more efficient.

Interface:

Client Info												
CFRANCIS			FRANCIS1			P. Power 998,935,200.00						
Shares		Account		Client		Order		Trade				
Time	Code	Name	Curr	Price	Qty	Corr	Reference	B/S	Type	TC	Client ID	
19:08	2	CLP HOLDINGS	#HKD	20.000	1000	1300		S	A	*	NA	CFRANCIS
19:05	6	POWER ASSETS	#HKD	30.000	1000	1300		B	A	*	NA	CFRANCIS
19:03	1	CHEUNG KONG	#HKD	128.300	2000			B	AX		NA	CFRANCIS
19:03	1	CHEUNG KONG	#HKD	128.300	2000			S	AX	F	NA	CFRANCIS
18:44	61051	SC#HSI RC1409R	#HKD	0.110	10000			B	AX	F	NA	CFRANCIS
18:44	61051	SC#HSI RC1409R	#HKD	0.110	10000			S	AX		NA	CFRANCIS
18:34	1	CHEUNG KONG	#HKD	127.000	1000			B	AX	F	NA	CFRANCIS
18:34	1	CHEUNG KONG	#HKD	127.000	1000			S	AX	F	NA	CFRANCIS
15:03	2	CLP HOLDINGS	#HKD	30.000	3000	1154		S	M		NA	CFRANCIS
14:52	1	CHEUNG KONG	#HKD	10.000	1000	9977		S	M		NA	CFRANCIS
14:31	2888	STANCHART	#HKD	1.500	6000	9922		B	R	*	NA	CFRANCIS

Filtering Transaction by text

Enter any text at the text box located at the top of the display panel. Transactions will be filtered according to text entered in text box

Sorting

Press the specific column header to perform transaction sorting according to the column header pressed